

# Graph Theory and Complex Networks: An Introduction

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## Chapter 02: Foundations

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# Graph: definition

## Definition

A **graph**  $G$  is a tuple  $(V, E)$  of **vertices**  $V$  and a collection of **edges**  $E$ . Each edge  $e \in E$  is said to connect two vertices  $u, v \in V$ , and is denoted as  $e = \langle u, v \rangle$ .

**Notations:**  $V(G)$ ,  $E(G)$ .

## Definition

The **complement**  $\bar{G}$  of a graph  $G$ , has the same vertex set as  $G$ , but  $e \in E(\bar{G})$  *if and only if*  $e \notin E(G)$ .

## Definition

For any graph  $G$  and vertex  $v \in V(G)$ , the **neighbor set**  $N(v)$  of  $v$  is the set of vertices (other than  $v$ ) adjacent to  $v$ :

$$N(v) = \{w \in V(G) \mid v \neq w, \langle v, w \rangle \in E(G)\}$$

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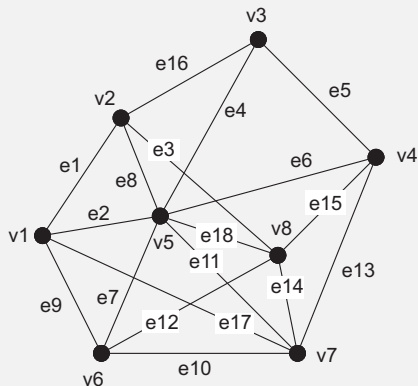
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# Graph: Example



$$V(G) = \{v_1, \dots, v_8\}$$

$$E(G) = \{e_1, \dots, e_{18}\}$$

$$e_1 = \langle v_1, v_2 \rangle \quad e_{10} = \langle v_6, v_7 \rangle$$

$$e_2 = \langle v_1, v_5 \rangle \quad e_{11} = \langle v_5, v_7 \rangle$$

$$e_3 = \langle v_2, v_8 \rangle \quad e_{12} = \langle v_6, v_8 \rangle$$

$$e_4 = \langle v_3, v_5 \rangle \quad e_{13} = \langle v_4, v_7 \rangle$$

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$$e_6 = \langle v_4, v_5 \rangle \quad e_{15} = \langle v_4, v_8 \rangle$$

$$e_7 = \langle v_5, v_6 \rangle \quad e_{16} = \langle v_2, v_3 \rangle$$

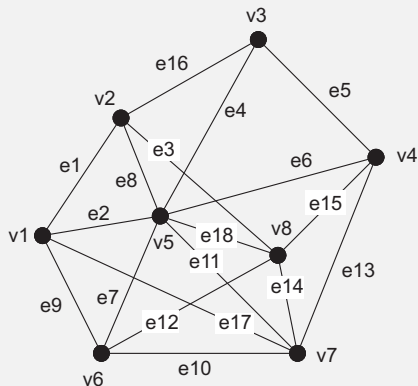
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## Question

What is the neighborset of  $v_6$ ?

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# Vertex degree

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The number of edges incident with a vertex  $v$  is called the **degree** of  $v$ , denoted as  $\delta(v)$ . **Loops**, i.e., edges joining a vertex with itself, are counted twice.

## Theorem

*For all graphs  $G$ ,  $\sum_{v \in V(G)} \delta(v)$  is  $2 \cdot |E(G)|$ .*

## Proof

When we count the edges of a graph  $G$  by enumerating the edges incident with each vertex of  $G$ , we are counting each edge exactly twice.

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# Degree sequence

## Definition

An **(ordered) degree sequence** is an (ordered) list of the degrees of the vertices of a graph. A degree sequence is **graphic** if there is a (simple) graph with that sequence.

## Theorem (Havel-Hakimi)

*An ordered degree sequence  $\mathbf{s} = [k, d_1, d_2, \dots, d_{n-1}]$  is graphic, if and only if  $\mathbf{s}^* = [d_1 - 1, d_2 - 1, \dots, d_k - 1, d_{k+1}, \dots, d_{n-1}]$  is also graphic. (We assume  $k \geq d_i \geq d_{i+1}$ .)*

## Note

Length  $\mathbf{s} = n$ , but length  $\mathbf{s}^* = n - 1$ .

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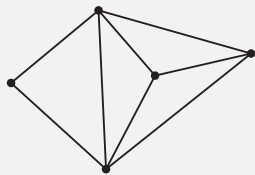
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## $s^* \Rightarrow s$ : Example

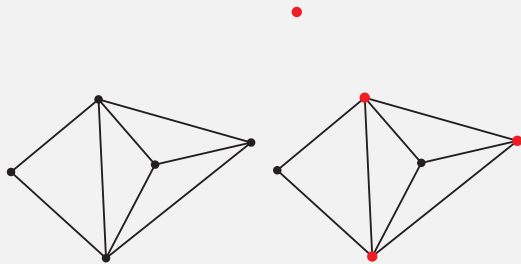
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- 1 Starting condition
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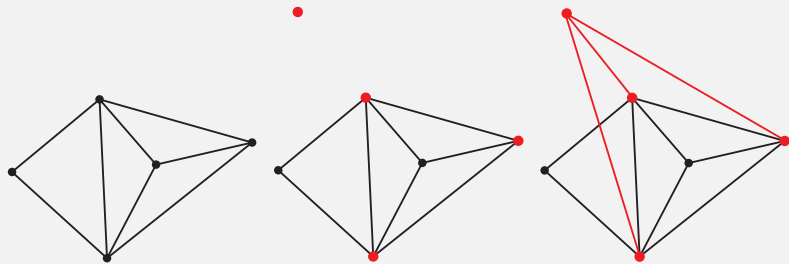
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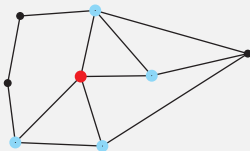
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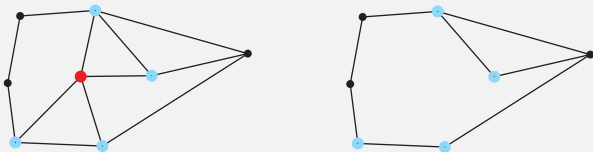


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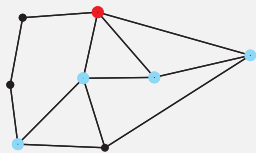
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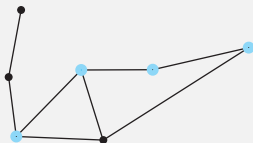
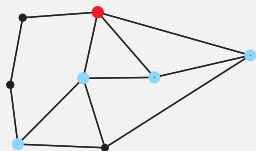
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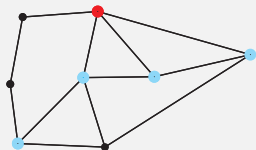
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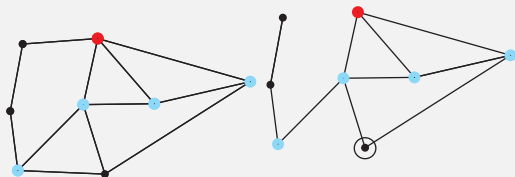


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- 2 Remove  $\langle u, w \rangle$  and  $\langle v_j, x \rangle$ .
- 3 Add  $\langle x, w \rangle$  and  $\langle u, v_j \rangle$

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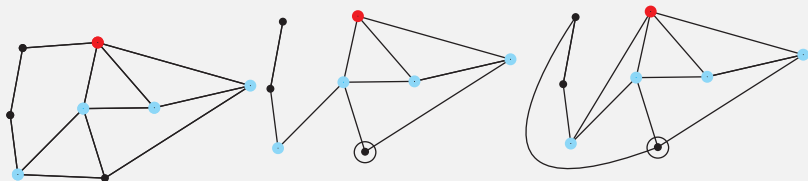


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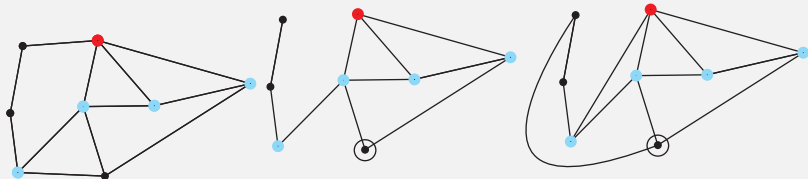


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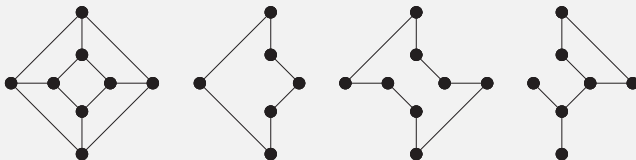
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# Subgraphs

## Definition

$H$  is a **subgraph** of  $G$  if  $V(H) \subseteq V(G)$  and  $E(H) \subseteq E(G)$  such that for all  $e \in E(H)$  with  $e = \langle u, v \rangle : u, v \in V(H)$ .



## Definition

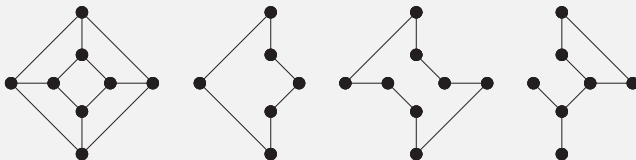
The **subgraph induced by**  $V^* \subseteq V(G)$  has vertex set  $V^*$  and edge set  $\{\langle v, w \rangle \in E(G) \mid v, w \in V^*\}$ . Denoted as  $H = G[V^*]$ . The **subgraph induced by**  $E^* \subseteq E(G)$  has vertex set  $V(G)$  and edge set  $E^*$ . Denoted as  $H = G[E^*]$ .



# Subgraphs

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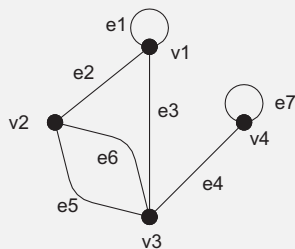
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# Adjacency matrix

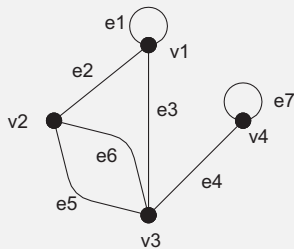


	$v_1$	$v_2$	$v_3$	$v_4$
$v_1$	2	1	1	0
$v_2$	1	0	2	0
$v_3$	1	2	0	1
$v_4$	0	0	1	2

## Observations

- Adjacency matrix is *symmetric*:  $\mathbf{A}[i, j] = \mathbf{A}[j, i]$ .
- $G$  is simple  $\Leftrightarrow \mathbf{A}[i, j] \leq 1$  and  $\mathbf{A}[i, i] = 0$ .
- $\forall v_i: \sum_{j=1}^n \mathbf{A}[i, j] = \delta(v_i)$ .

# Incidence matrix



	$e_1$	$e_2$	$e_3$	$e_4$	$e_5$	$e_6$	$e_7$
$v_1$	2	1	1	0	0	0	0
$v_2$	0	1	0	0	1	1	0
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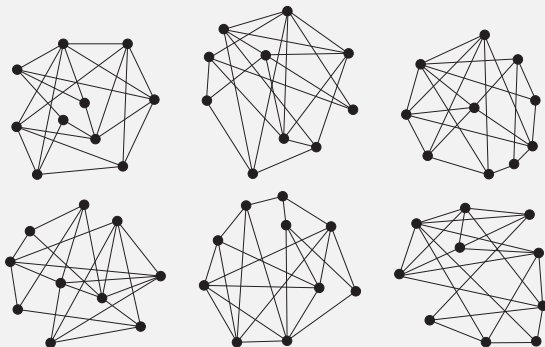
## Observations

- $G$  is simple only if  $\mathbf{M}[i,j] \leq 1$
- $\forall v_i: \sum_{j=1}^m \mathbf{M}[i,j] = \delta(v_i)$ .
- $\forall e_j: \sum_{i=1}^n \mathbf{M}[i,j] = 2$ .

# Graph isomorphism

## Definition

$G_1$  and  $G_2$  are **isomorphic** if there exists a one-to-one mapping  $\phi : V_1 \rightarrow V_2$  such that for each edge  $e_1 \in E_1$  with  $e_1 = \langle v, u \rangle$  there is a unique edge  $e_2 \in E_2$  with  $e_2 = \langle \phi(v), \phi(u) \rangle$ .



# Connectivity: definitions

## Definition

A  $(\mathbf{v_0}, \mathbf{v_k})$ -walk is a sequence  $[v_0, e_1, v_1, e_2, \dots, v_{k-1}, e_k, v_k]$  with  $e_i = \langle v_{i-1}, v_i \rangle$ . A **trail** is a walk with distinct edges; a **path** is a trail with distinct vertices. A **cycle** is a trail with distinct vertices except  $v_0 = v_k$ .

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Vertices  $u \neq v$  in  $G$  are **connected** if there is a  $(u, v)$  - path in  $G$ .  $G$  is **connected** if all pairs of distinct vertices are connected.

## Definition

$H \subseteq G$  is a **component** of  $G$  if  $H$  is connected and not contained in a connected subgraph of  $G$  with more vertices or edges. The number of components of  $G$  is  $\omega(G)$ .

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# Connectivity and robustness

## Important

Connectivity indicates whether all nodes in a network can be reached from any other node.

## Example

Communication networks, like the Internet, **require** to be connected, and have been designed to **stay connected**, even when under attack.

## Definition

For a graph  $G$  let  $V^* \subset V(G)$  and  $E^* \subset E(G)$ . If  $\omega(G - V^*) > \omega(G)$  then  $V^*$  is called a **vertex cut**. If  $\omega(G - E^*) > \omega(G)$  then  $E^*$  is called an **edge cut**.



# Connectivity and robustness

## Important

Connectivity indicates whether all nodes in a network can be reached from any other node.

## Example

Communication networks, like the Internet, **require** to be connected, and have been designed to **stay connected**, even when under attack.

## Definition

For a graph  $G$  let  $V^* \subset V(G)$  and  $E^* \subset E(G)$ . If  $\omega(G - V^*) > \omega(G)$  then  $V^*$  is called a **vertex cut**. If  $\omega(G - E^*) > \omega(G)$  then  $E^*$  is called an **edge cut**.

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# Minimal cuts

## Note

For reasons of robustness, we're interested in finding the **minimal number** of vertices or edges to remove before a graph falls apart.

## Notations

- $\kappa(G)$  is the size of a minimal vertex cut for  $G$
- $\lambda(G)$  is the size of a minimal edge cut

## Theorem

$$\kappa(G) \leq \lambda(G) \leq \min_{v \in V(G)} \{\delta(v)\}$$

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$\kappa(G) \leq \lambda(G)$  Let  $E^* = \{\langle u_1, v_1 \rangle, \langle u_2, v_2 \rangle, \dots, \langle u_k, v_k \rangle\}$  be an edge cut, with  $k = \lambda(G) \Rightarrow G - E^*$  falls into **exactly two** components  $G_1$  and  $G_2$  (**why?**).

- Assume there exists  $u \in V(G_1) \setminus \{u_1, \dots, u_k\}$ . This means that  $\{u_1, \dots, u_k\}$  is a vertex cut  $\Rightarrow \kappa(G) \leq k$ .

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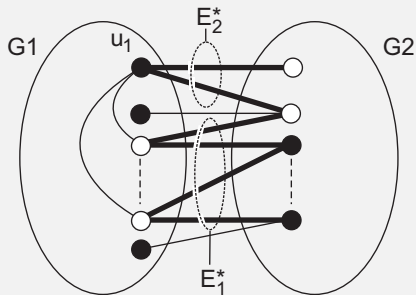
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$$\kappa(G) \leq \lambda(G) \leq \min_{v \in V(G)} \{\delta(v)\} \text{ (cnt'd)}$$

Otherwise, assume  $V(G_1) = \{u_1, \dots, u_k\}$  and consider vertex  $u_1$ .

- $u_1$  is adjacent to  $d_1$  vertices  $N_1(u_1)$  from  $V(G_1)$  and  $d_2$  vertices  $N_2(u_1)$  from  $V(G_2)$ .
- Each  $u_i \in N_1(u_1)$  is adjacent to a vertex from  $V(G_2)$ .
- Let  
 $E_1^* = \{\langle u, v \rangle \in E^* \mid u \in N_1(u_1), v \in V(G_2)\}$   
 $E_2^* = \{\langle u_1, v \rangle \in E^* \mid v \in N_2(u_1)\}$
- $d_1 + d_2 \leq |E_1^*| + d_2 \leq |E_1^*| + |E_2^*| \leq |E^*| = \lambda(G)$ .
- $N_1(u_1) \cup N_2(u_1)$  is a vertex cut with  $d_1 + d_2$  vertices.





# What does it take to be connected?

## Definition

If  $\kappa(G) \geq k$  for some  $k$ , then  $G$  is called  $k$ -connected.

## Note

$G$  is  $k$ -connected  $\Rightarrow \forall v : \delta(v) \geq k$

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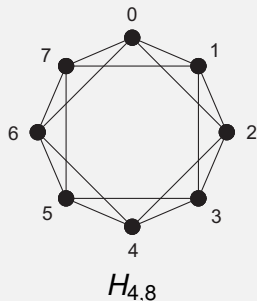
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# Harary graphs

**k is even:** Organize vertices  $V = \{0, 1, \dots, n-1\}$  into a “circle.”  
 Connect vertex  $i$  to its  $k/2$  left-hand (clockwise) neighbors and to its  $k/2$  right-hand (counter clockwise) neighbors.

**k is odd, n is even:** Construct  $H_{k-1,n}$  and add edges  
 $\langle 0, \frac{n}{2} \rangle, \langle 1, 1 + \frac{n}{2} \rangle, \dots, \langle \frac{n-2}{2}, n-1 \rangle$ .

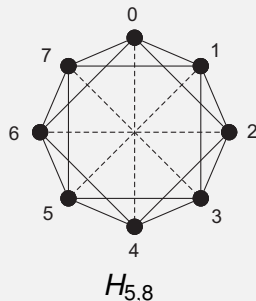
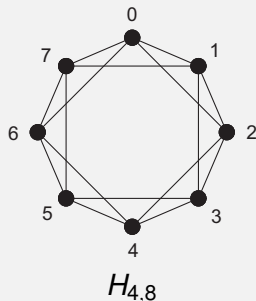


$H_{5,8}$

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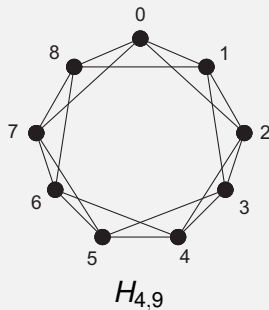
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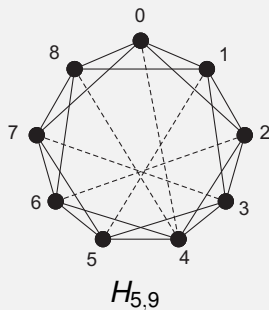
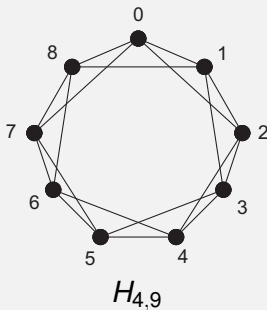
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$H_{5,9}$

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# Menger's theorem

## Definition

Let  $\mathcal{P}(u, v)$  be a collection of paths between vertices  $u$  and  $v$ .

**Vertex independent:**  $\forall P, Q \in \mathcal{P}(u, v) : V(P) \cap V(Q) = \{u, v\}$ .

**Edge independent:**  $\forall P, Q \in \mathcal{P}(u, v) : E(P) \cap E(Q) = \emptyset$ .

## Theorem (Menger)

*Let  $G$  be a graph with two nonadjacent vertices  $u$  and  $v$ . The minimum number of vertices in a vertex cut that disconnects  $u$  and  $v$  is equal to the maximum number of pairwise vertex-independent paths between  $u$  and  $v$ . The minimum number of edges in an edge cut that disconnects  $u$  and  $v$ , is equal to the maximum number of pairwise edge-independent paths between  $u$  and  $v$ .*

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# Menger's theorem

## Mathematical language

Menger's theorem should be read carefully: it mentions **pairwise independent paths**. In this case, the adjective **pairwise** is used to make clear that we should always consider pairs of paths when considering independence. And indeed, this makes sense when you would consider trying to count the number of **independent paths**: being an independent path can only be relative to another path.

To complete the story, also note that the theorem is all about counting the number of  $(u, v)$ -paths, and not the number of **pairs** of such paths. In other words, **pairwise** is an adjective to **independent**, and not to **paths**.

# Corollaries

## Corollary

- *$G$  is  $k$ -connected iff any two distinct vertices are connected by at least  $k$  vertex-independent paths.*
- *$G$  is  $k$ -edge connected iff any two distinct vertices are connected by at least  $k$  edge-independent paths.*

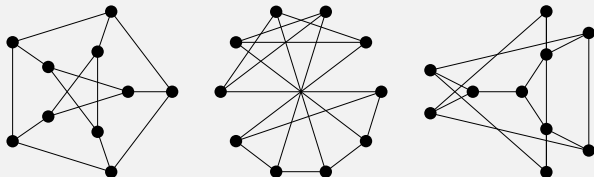
## Corollary

*Each edge of a 2-edge-connected graph lies on a cycle.*

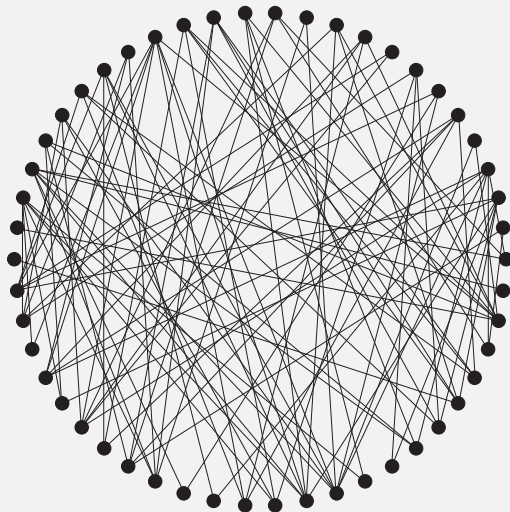
# Drawing graphs

## Observation

It is important to see how you draw a graph, that is, to consider its **graph embedding**.



# Circular embedding



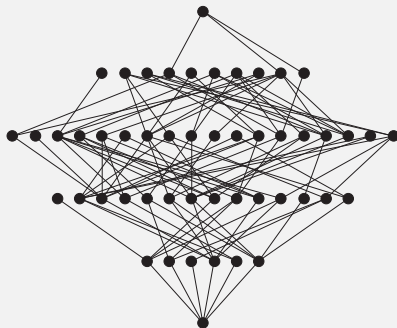
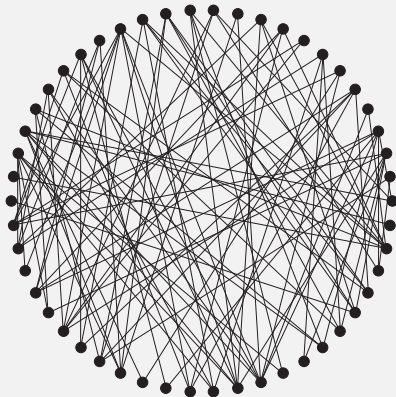
# Ranked embedding

## Definition

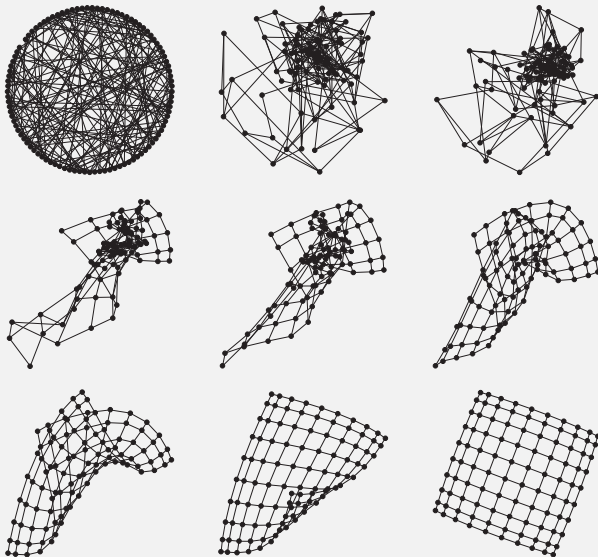
$G$  is **bipartite** if  $V(G) = V_1 \cup V_2$  and  $V_1 \cap V_2 = \emptyset$  such that  $E(G) \subseteq \{\langle u_1, u_2 \rangle \mid u_1 \in V_1, u_2 \in V_2\}$ .

- 1 Consider bipartite graph  $G$  and vertex  $v \in V(G)$
- 2 Let  $N_0^*(v) = \{v\}$
- 3 Let  $N_k^*(v) = N_{k-1}^*(v) \cup \{x \in N(y) \mid y \in N_{k-1}^*(v)\}, k \geq 1$
- 4  $N_k(v) = N_k^*(v) - N_{k-1}^*(v)$
- 5 Draw vertices from  $N_k(v)$  on the same vertical line, and vertices from  $N_{k-1}(v)$  below (or above) those of  $N_k(v)$ .

# Ranked embedding



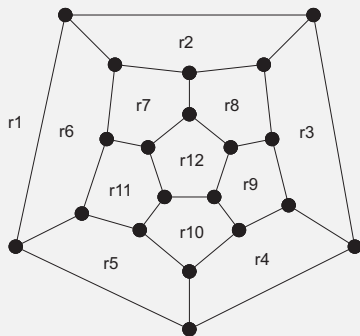
# Spring embedding



# Planar graphs

## Definition

A graph is **planar** if there exists an embedding in the 2D plane such that no two edges cross. A **plane graph** is a drawing of a planar graph such that no two edges intersect.



## Theorem (Euler's formula)

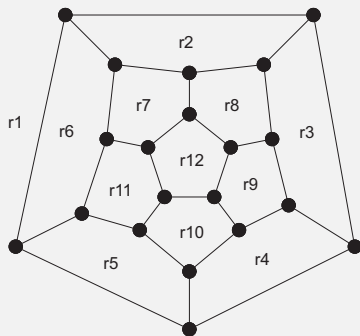
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# Planar graphs: properties

## Theorem

*For any connected simple planar graph with  $n \geq 3$  vertices and  $m$  edges:  $m \leq 3n - 6$*

- Consider region  $f$  in a plane graph of  $G$
- $\forall$  interior regions:  $B(f)$  denotes number of edges enclosing  $f$ .  
**Note:**  $B(f) \geq 3$ .
- $n \geq 3 \Rightarrow$  exterior region bounded by at least 3 edges.
- $r$  regions  $\Rightarrow \sum B(f) \geq 3r$
- $\sum B(f)$  counts edges once or twice  $\Rightarrow \sum B(f) \leq 2m$
- $3r \leq \sum B(f) \leq 2m \Rightarrow m = n + r - 2 \leq n + \frac{2}{3}m - 2 \Rightarrow m \leq 3n - 6$

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